

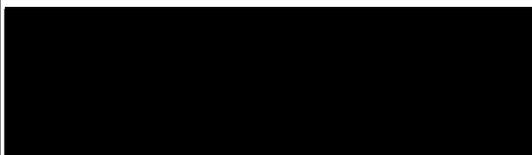
**Committee on Appropriations  
Commerce, Justice, Science and Related Agencies**

**Witness Disclosure Form**

**Clause 2(g) of rule XI of the Rules of the House of Representatives requires non-governmental witnesses to disclose to the Committee the following information. A non-governmental witness is any witness appearing on behalf of himself/herself or on behalf of an organization other than a federal agency, or a state, local or tribal government.**

Your Name, Business Address, and Telephone Number:

Graham Fleming, Vice Chancellor for Research,



1. Are you appearing on behalf of yourself or a non-governmental organization? Please list organization(s) you are representing.

University of California Berkeley

2. Have you or any organization you are representing received any Federal grants or contracts (including any subgrants or subcontracts) since October 1, 2008?

Yes X      No

3. If your response to question #2 is "Yes", please list the amount and source (by agency and program) of each grant or contract, and indicate whether the recipient of such grant or contract was you or the organization(s) you are representing.

In my role as Vice Chancellor for Research at UC Berkeley, I declare that the University of California, Berkeley received a total of **\$340.7M** in federal research funding in **FY09**. The break down for these sources is as follows:

Department of Defense: \$28.9M  
DOE & National Labs: \$26.9M  
NASA: \$25.8M  
NIH & other DHHS: \$120.2M  
NSF: \$110M  
Other federal: \$28.9M

In **FY10**, I declare that the University of California, Berkeley received a total of **\$420.6M** in federal research funding. The break down for these sources is as follows:

Department of Defense: \$31.4M

DOE & National Labs: \$34M

NASA: \$25.9M

NIH & other DHHS: \$143M

NSF: \$158.2M

Other federal: \$28.1M

NSF DUSEL Funding

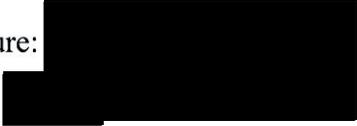
10/07 – 9/10            \$18M (\$1.15M spent at UCB-remainder subcontracts)

1/09 – 9/10            \$34.6M (\$7.65M spent at UCB-remainder subcontracts)

4/11                      \$4M (anticipated: all subcontracted to South Dakota Science and  
Technology Authority)

Fleming Principal Investigator Funding

**See attached table.**

Signature: 

Date:

3/9/11

**SUMMARY REPORT OF FUNDING**

**Graham R. Fleming**

Fund Title	Funding Agency	Award Date	Total Award
CHE-0706468 - Non-Linear Optical Studies of Condensed Phase Dynamics	National Science Foundation	6/1/07-5/31/11	\$ 744,019
Analysis and Control of Quantum Coherences in Photosynthesis for Quantum Information Science	DOD Space & NWSC, DARPA	2/26/09-2/28/12	\$ 411,270
CHE-1012168 - Multidimensional Spectroscopic Studies of Condensed Phase Dynamics	National Science Foundation	9/15/10-8/31/13	\$ 755,000
Development of Model for Regulation of Photosynthetic Antenna Function	Department of Energy	10/1/08-9/30/09	\$ 100,000
Development of Model for Regulation of Photosynthetic Antenna Function	Department of Energy	10/1/09-9/30/10	\$ 100,000
Primary Processes in Photosynthesis	Department of Energy	10/1/08-9/30/09	\$ 473,000
Primary Processes in Photosynthesis	Department of Energy	10/1/09-9/30/10	\$ 575,000
An Integrated Super Resolution Characterization of Natural Photosynthesis (SISGR)	Department of Energy	10/1/08-9/30/09	\$ 140,000
An Integrated Super Resolution Characterization of Natural Photosynthesis (SISGR)	Department of Energy	10/1/09-9/30/10	\$ 140,000